



2134  
UPW

DOCKET NO.: E0295.70155US00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Steven M. Blumenau et al.  
Serial No.: 09/748,053  
Confirmation No.: 4482  
Filed: December 22, 2000  
For: METHOD AND APPARATUS FOR PREVENTING UNAUTHORIZED  
ACCESS BY A NETWORK DEVICE  
Examiner: Ho, Thomas M.  
Art Unit: 2134

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to MAIL STOP AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 7th day of September, 2005.

Patricia L. Marchetti  
Patricia L. Marchetti

MAIL STOP AMENDMENT

Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith are the following documents:

- Information Disclosure Statement, Form PTO-1449 and References Cited
- Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 646-8000, Boston, Massachusetts.

A check in the amount of \$180.00 is enclosed to cover the filing fee. If the fee is insufficient, the balance may be charged to Deposit Account 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,  
*Steven M. Blumenau et al., Applicant*

By:

Richard F. Giunta  
Richard F. Giunta, Reg. No.: 36,149  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, Massachusetts 02210-2206  
Telephone: (617) 646-8000

Docket No.: E0295.70155US00  
Date: September 7, 2005  
xNDDx

DOCKET NO.: E0295.70155US00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Steven M. Blumenau et al.  
Serial No.: 09/748,053  
Confirmation No.: 4482  
Filed: December 22, 2000  
For: METHOD AND APPARATUS FOR PREVENTING  
UNAUTHORIZED ACCESS BY A NETWORK

Examiner: Ho, Thomas M.  
Art Unit: 2134

---

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to MAIL STOP AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 7<sup>th</sup> day of September, 2005.

  
Patricia L. Marchetti

---

**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**STATEMENT FILED PURSUANT TO THE DUTY OF  
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98**

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

**PART I: Compliance with 37 C.F.R. §1.97**

This Information Disclosure Statement has been filed more than three months after the filing date of this application and after the mailing date of the first Office Action, but before the mailing date of either a final action under 37 C.F.R. §1.113 or a Notice of Allowance under 37 C.F.R. §1.311, or an action that otherwise closes prosecution in this application.

The fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) is enclosed.

898202.1

09/12/2005 SDENB0B1 00000001 09748053

01 FC:1806

160.00 0P

PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

The Applicant hereby makes the following additional information of record in the above-identified application.

The Applicant would like to bring to the Examiner's attention the enclosed search report from a corresponding International or Foreign National Application.

PART III: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

Serial No.: 09/748,053  
Conf. No.: 4482

- 3 -

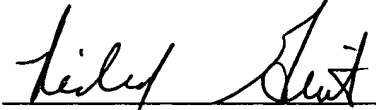
Art Unit: 2134

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

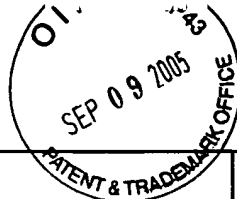
Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,  
*Steven M. Blumenau et al., Applicant*

By:   
Richard F. Giunta, Reg. No. 36,149  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, Massachusetts 02210-2206  
Telephone: (617) 646-8000

Docket No.: E0295.70155US00  
Date: September 7, 2005  
xNDDx



FORM PTO-1449/A and B (Modified)

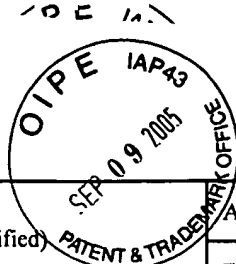
**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Sheet 1 of 2

APPLICATION NO.: 09/748,053	ATTY. DOCKET NO.: E0295.70155US00
FILING DATE: December 22, 2000	CONFIRMATION NO.: 4482
APPLICANT: Steven M. Blumenau et al.	
GROUP ART UNIT: 2134	EXAMINER: Ho, Thomas M.

**U.S. PATENT DOCUMENTS**

Examiner's Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYYY
		Number	Kind Code		
		4,280,176		Tan	07-21-1981
		4,672,572		Alsberg	06-09-1987
		4,919,545		Yu	04-24-1990
		5,235,642		Wobber et al.	08-10-1993
		5,276,735		Boebert et al.	01-04-1994
		5,315,657		Abadi et al.	05-24-1994
		5,455,953		Russell	10-03-1995
		5,469,576		Dauerer et al.	11-21-1995
		5,737,523		Callaghan et al.	04-07-1998
		5,742,759		Nessett et al.	04-21-1998
		5,771,291		Newton et al.	06-23-1998
		5,771,354		Crawford	06-23-1998
		5,784,464		Akiyama et al.	07-21-1998
		5,864,843		Carino, Jr. et al.	01-26-1999
		5,889,952		Hunnicut et al.	03-30-1999
		5,930,786		Carino, Jr. et al.	07-27-1999
		5,991,876		Johnson et al.	11-23-1999
		5,999,930		Wolff	12-07-1999
		6,041,346		Chen et al.	03-21-2000
		6,044,155		Thomlinson et al.	03-28-2000
		6,061,726		Cook et al.	05-09-2000
		6,061,753		Ericson	05-09-2000
		6,105,027		Schneider et al.	08-15-2000
		6,141,701		Whitney	10-31-2000
		6,263,445	B1	Blumenau	07-17-2001
		6,484,245	B1	Sanada et al.	11-19-2002
		6,526,489	B1	Kikuchi et al.	02-25-2003



<b>FORM PTO-1449/A and B (Modified)</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				APPLICATION NO.: 09/748,053		ATTY. DOCKET NO.: E0295.70155US00			
				FILING DATE: December 22, 2000		CONFIRMATION NO.: 4482			
				APPLICANT: Steven M. Blumenau et al.					
				GROUP ART UNIT: 2134		EXAMINER: Ho, Thomas M.			
Sheet	2	of	2						

#### FOREIGN PATENT DOCUMENTS

Examiner's Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document (not necessary)	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Office/ Country	Number	Kind Code			
		GB	1 601 956		Marconi Co. Ltd.	11-04-1981	
		JP	4-64985		Sharp Corp.	02-28-1992	Y
		GB	2 262 633	A	Uken (Research & Development) Limited	06-23-1993	
		JP	5-181609		NEC Corporation	07-23-1993	Y
		EP	0 580 350	A1	Digital Equipment Corporation	01-26-1994	
		WO	95/13583		Kenneth H. Conner	05-18-1995	
		JP	7-325785		Fujitsu Ltd.	12-12-1995	Y
		EP	0 932 096	A2	Data General Corporation	07-28-1999	

#### OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)	
		Patent Cooperation Treaty International Search Report		
		Translation of Official Action of the German Patent and Trademark Office		
		Sutton et al., "Processors Sharing and Partitioning of Main Storage in the MP System", IBM Technical Disclosure Bulletin, vol. 22, no. 5, October 1979 (1970-10), pages 2009-2010.		

EXAMINER:	DATE CONSIDERED:
-----------	------------------

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

\*a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. \_\_, filed \_\_, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).

[NOTE - The Office hereby waives the requirement under 37 CFR 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC 371 after June 30, 2003. See 37 CFR 1.491(b). For all patent applications filed on or before June 30, 2003, copies of cited U.S. patents and patent application publications are still required unless an eIDS is filed. Copies of all other patent(s), publication(s), or other information listed must still be provided, even if it was previously submitted to, or cited by, the U.S. Patent Office in an earlier application, unless the earlier application is identified by the IDS and is relied upon for an earlier filing date under 35 U.S.C. §120, and the copy was provided in the earlier application.]